1 Microfluidic study of effects of flow velocity and nutrient concentration on biofilm accumulation and

2 adhesive strength in the flowing and no-flowing microchannels

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11 Abstract

12 Biofilm accumulation in porous media can cause pore plugging and change many of the physical properties 13 of porous media. Engineering bioplugging may have significant applications for many industrial processes, 14 while improved knowledge on biofilm accumulation in porous media at porescale in general have broad 15 relevance for a range of industries as well as environmental and water research. The experimental results by 16 means of microscopic imaging over a T-shape microchannel clearly show that increase in fluid velocity 17 could facilitate biofilm growth, but that above a velocity threshold, biofilm detachment and inhibition of 18 biofilm formation due to high shear stress were observed. High nutrient concentration prompts the biofilm 19 growth, however the generated biofilm displays a weak adhesive strength. This paper provides an overview 20 of biofilm development in a hydrodynamic environment for better prediction and modelling of bioplugging 21 processes associated with porous systems in petroleum industry, hydrogeology, and water purification.

22 Keywords

23 Microfluidics · Flow velocity · Nutrient concentration · Biofilm accumulation · Adhesive strength

24

25 Introduction

26 Biofilm accumulation in the pore space can cause pore plugging (bioplugging), leading to significant 27 changes in physical properties of porous media by reduction of porosity and permeability [8,34,47]. The 28 plugging effect might have negative impacts in many industrial and medical applications because the 29 plugging of pores require extra cost to clean, mitigate and prevent. However, engineering bioplugging have 30 been explored as a viable technique for various practices, such as in situ bioremediation [19], soil injection 31 [32], waste treatment [6], water treatment [12] and microbial enhanced oil recovery (MEOR) [16,21,22,35]. 32 In MEOR technology trails, selective biofilm accumulation in high permeability zones of the reservoir 33 leads to the diversion of injection fluids towards lower permeable oil filled zones to improve the oil 34 recovery [3,13,38]. Bioplugging strategy has been proven to be efficient for improving water flood 35 efficiency and oil recovery based on various studies. Fujiwara et al. [13] showed that the bacterial strain 36 CJF-002 was able to attach and form biofilm on the reservoir rock, and when injected into the oil reservoir 37 followed by injection of growth substrate (molasses), it selectively grew and formed bio plugs in the high 38 permeable zones of the reservoir. Enhanced recovery was observed by an increase of oil production and 39 concomitant reduction in water cut. Suthar et al. [44] confirmed the obtained oil recovery in the sand pack 40 column because of the anaerobic bacterial Bacillus licheniformis TT33 growth and biomasses formation in 41 highly permeable zones. Klueglein et al. [24] studied the effects of nutrient concentrations on growth and 42 agglomeration of MEOR microorganisms present in the original injection water from a Wintershall oil 43 field.

44 MEOR bioplugging technologies aim to control specific microorganisms attaching and forming biofilm at 45 desired parts of a reservoir, in order to achieve improved sweep to improve oil production. However, 46 unspecific microbial growth in the near wellbore area may have potential negative consequences such as 47 formation damage and reduced injectivity [10,50]. Microbial growth in reservoir formations is dependent 48 on nutrient availability, and studies have shown that many chemical injection water additives applied by the 49 oil industry, may be utilized by native microorganisms as growth substrate [43]. Furthermore, on-site 50 coreflood experiments at Prudhoe Bay field (Alaska) suggests that reinjection of pre-filtered produced 51 water may cause injectivity damage due to bacterial growth [17]. Therefore, application of engineering 52 bioplugging requires knowledge on how to control bacterial growth. Even though tremendous efforts have

53 been made to prove the efficiency of bioplugging strategies, the deep mechanisms of biofilm formation and 54 development in porous media at porescale, are rarely reported. Likewise, biofilm-induced formation 55 damage have been studied and reported [50], but the need to also study the basic mechanisms involved at 56 pore scale is necessary in order to understand and simulate bioplugging at Darcy and field scale. 57 Hydrodynamic conditions are the most important parameters affecting the formation of bioplugging in 58 porous media as biofilm growth and detachment could be significantly influenced by the surrounding 59 environment, including shear stress, nutrient status, temperature, pH, and so on [15,28,41]. Biofilm growth 60 and detachment rates could both increase with injection velocity, as the increased mass transfer facilitates 61 nutrients supply for bacterial growth, while the increased shear force in turn cause detachment [7,28,48]. 62 There is a consensus that biofilm growth rate increases with nutrients concentration, while nutrient 63 starvation results in biofilm detachment [4,18,36]. Therefore, the primary objective of this paper is to 64 describe a correlation between biofilm accumulations and its adhesive strength and hydrodynamic 65 conditions like flow velocity and nutrient concentration, to improve the understanding of bioplugging in 66 general. 67 Traditionally quiescent experiments for biofilm formation and transport research are normally carried on 68 homogeneous physical conditions, which lack environmental complexities for accurately determining the 69 dynamic changes occurring during biofilm development [37]. The advent of new technologies, specially 70 microfluidics, have attracted a rapidly growing interest to emulate biological phenomena by addressing 71 unprecedented control over the flow conditions, providing identical and reproducible culture conditions, as 72 well as real-time observation [4,39,45]. Indeed, there are few reports related to use microfluidics for 73 observing biofilm formation and transport at porescale under various hydrodynamic conditions [25,49]. 74 Dunsmore et al. [9] injected the sulphate-reducing bacterium, Desulfovibrio sp. EX265, into a glass 75 micromodel and observed a decrease in permeability due to biofilm accumulation in the pore and blocking 76 pore throats. Karambeigi et al. [20] used a glass micromodel with two different heterogeneities to 77 investigate the potential of bioplugging to improve the efficiency of water flooding. An improved oil 78 recovery in high permeable zones was observed by injection of a mixed culture of oil degrading 79 microorganisms into porous media. Park et al. [33] presented effects of shear stress on biofilm formation in 80 a microfluidic channel, and confirmed that under the optimum shear stress, biofilm could resist the flow81 induced shear stress by forming a stable extracellular polymeric substance (EPS) structure to provide a 82 mechanical shield. Zhang et al. [51] designed a microfluidic gradient mixer to monitor biofilm development 83 as response to a defined calcium and nitrate gradients. These studies demonstrate that the microfluidic 84 device coupled with a microscope is an effective tool for in situ analysis and quantification of biofilm 85 formation and transport in porous media at porescale. Herein, we used a T-shape microfluidic device 86 equipped with a microscope to study the biofilm accumulation and adhesive strength as responds to various 87 flow velocities and nutrient concentrations in the microchannel.

88 Materials and methods

89 Bacteria and fluids

90 The bacterium used in the study was: Thalassospira strain A216101, a facultative anaerobic, nitrate-91 reducing bacteria (NRB), capable of growing under both aerobic and anaerobic conditions. It is able to 92 grow on fatty acids and other organics acids as sole carbon and energy source. The bacterium was cultured 93 in a marine mineral medium, which contained the following components (1^{-1}): 0.02 g Na₂SO₄, 1.00 g 94 KH₂PO₄, 0.10 g NH₄Cl, 20.00 g NaCl, 3.00 g MgCl₂·6H₂O, 0.50 g KCl, 0.15 g CaCl₂·2H₂O, 0.70 g NaNO₃, 95 and 0.50 ml 0.20% resazurin [30]. Resazurin dye is a redox indicator that was added to the growth medium 96 in order to evaluate the metabolic activity in the microchannel by simple visual inspection of the effluent 97 produced. Respiratory growth irreversibly reduce the blue colored resazurin to pink colored resorufin. The 98 medium is hereafter referred to as growth medium. After autoclaving in a dispenser, 1 liter of growth 99 medium was added 5 ml vitamin solution and 20 ml 1 M NaHCO₃ to adjust the pH to 7.00 ± 0.10 . Finally, 100 pyruvate was added as the carbon source from a sterile stock solution to achieve final nutrient 101 concentrations of 20 mM, 10 mM, 5 mM, and 1 mM, respectively. The final nutrient medium was stored at 102 4°C.

103 Experimental setup

104 The experimental apparatus is illustrated in Fig. 1 (a). A glass T-junction microfluidic device (Micronit,

105 Netherland) consists of a single straight channel and a side channel with the sizes of 100 µm width and 20

106 µm depth and the nuzzle size at the cross-section as narrow as 10μ m (Fig. 1 (b)). Two syringe pumps (NE-107 1000 Series of Syringe Pumps, accuracy ±1%) were used to load the bacterial solution and nutrients 108 solution separately into microchannels. The light source is a cold halogen lamp with 24v, 150w, placed 109 under the microchip for better illumination. A microscope with a digital camera (VisiCam 5.0, VWR) was 110 used to acquire image sequences. Measurements and experiments were conducted at ambient temperature

111 and pressure.



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Fig. 1 (a) Schematic illustration of the experimental setup; (b) The glass T-shape microchannel in this study
contains two inlet ports (1 Bacterial inlet and 2 Nutrients inlet) and one outlet port (3). Microchip image

- 115 comes from Micronit website; (c) Image of biofilm growth recorded by microscope. Flow direction from
- 116 left to right.

117 Inoculation process

- 118 Before inoculation, the microchannel was cleaned using ethyl alcohol, deionized water, H₂O₂ solution (10%
- 119 w/w) and deionized water to guarantee the same surface condition for each experiment. The bacterial
- 120 inocula were pre-cultured in the growth medium containing 10 mM nutrients at 30 °C for 24 h. The initial

121 cells density of the inoculum was approximately $1 \ge 10^9$ cells/ml. Inoculation was achieved by injecting the 122 pre-culture bacterial solution from the bacterial inlet port (Fig. 1 (b)) into the side channel (Channel 2) at 123 the rate of 1.0 µl/min for 24 h, followed by a 24 h shut-in period. In case of biofilm plugging the nutrients 124 flow channel (Channel 1), we closed the nutrient inlet during inoculation to force the bacterial solution to 125 only flow towards the outlet direction. Then only growth medium, with various pyruvate concentrations 126 from 1 mM to 20 mM, were injected into Channel 1 from the nutrients inlet at constant flowrates from 0.2 127 to $0.5 \,\mu$ /min, while Channel 2 was closed, which led to a greater growing of bacteria on the surface of the 128 intersection of straight channel and side channel (Fig. 1 (c)). After nutrient flooding, the microchannel was 129 rinsed with ethyl alcohol, water, H₂O₂ solution and water separately, finally, filled with the marine medium 130 without nutrients until the onset of the next experiment.

131 Image process

- 132 Image sequences on biofilm growth were acquired with a Leica microscope fitted with a digital camera for
- 133 scoring with time. The main area of interest in this study is the intersection of straight channel and side
- 134 channel, thereby two areas of interest (AOIs) with 0.5mm*0.1mm are extracted from the origin image for
- 135 further image analysis (Red squares in Fig. 1 (c)). The image processing was performed using
- 136 MATLAB®'s Image Processing Toolbox. Biofilm accumulation, here presented by biofilm coverage (Ant)
- 137 in areas of interest, was periodically measured in a flowing channel (Channel 1) and no-flowing channel
- 138 (Channel 2). Further details on image process can be found in Support Information.

139 Quantitative real-time PCR (qPCR)

- 140 Fluid samples were collected daily at the outlet for analysis by quantitative real-time PCR (qPCR) in order
- 141 to determine the total cell number produced and/or released from the biofilm. Amplification of the V3
- region of 16S rRNA gene was performed by use of *Bacteria* primer PRBA338f (5'-
- 143 ACTCCTACGGGAGGCAGCAG-3') [27] and Universal primer PRUN518r (5'-
- 144 ATTACCGCGGCTGCTGG-3') [29]. The template for the reaction was DNA from whole cells, pre-treated
- 145 by freezing and thawing in order to open the cells and allow DNA amplification. A 20 µl qPCR reaction
- 146 mix containing 10 μl QuantiTect® SYBR® Green PCR kit (Qiagen, Germany) 0.06 μl primers (100μM),

147 8.88 μ l nuclease free water (Qiagen, Germany) and 1 μ l cell template was prepared in 0.2 ml low-profile 8-148 strip white PCR tubes covered with optical flat 8-cap strips (Bio-Rad Laboratories, USA). The reaction 149 was run at the following cycling conditions: initial activation at 95°C for 15 minutes, 36 cycles with 150 denaturation for 30 seconds at 94°C, annealing for 30 seconds at 55°C, extension for 1 minute at 72°C 151 followed by a plate read. At the end, a melting curve from 55°C to 95°C was conducted. The reactions were 152 carried out in a CFX connect™ real time PCR detection system (Bio-Rad Laboratories, USA). Each run 153 included two parallel analysis of each sample and standards (prepared from isolated DNA of *Thalassospira* 154 cells, 5 times 10 fold diluted). The number of amplicons were divide by the factor 3.8 to correct for the 155 average number of 16S rRNA copies in bacteria [42]. The qPCR results are given as the mean ± standard

156 deviation (SD) of the two individual analyses.

157 **Results**

158 Effects of flow velocity on biofilm accumulation and adhesive strength

159 After inoculation, four sets of nutrient flooding experiments with 10 mM pyruvate concentration were

160 conducted at various injection rates (0.2, 0.3, 0.4 and 0.5 μ l/min) to measure effects of injection velocity on

161 biofilm accumulation in microchannels. After 6 days of nutrient flooding, the flowrate was increased

162 stepwise by 0.1 µl/min for 1 h, until up to 1.2 µl/min, to test the adhesive strength of biofilm attached on

- 163 the solid surface. The corresponding flow velocity, Peclet number, Reynolds number and Shear rate at each
- 164 flowrate in Channel 1 are listed in Table 1. The Peclet number is the ratio of heat transfer by convection to
- 165 heat transfer by conduction within the fluid. The Reynolds number is the ratio of the inertial forces to the
- 166 viscous forces. Shear rate is the velocity gradient across the diameter of the fluid-flow channel. The
- 167 accumulation of biofilm at different velocities was observed and registered as function of time by use of

168 microscope.

169 **Table 1** Table of basic flow parameters at various flowrates in this study.

Flowrate,Velocity, mm/sPeclet number, PeReynolds number, ReShear rate, s⁻¹μl/min

0.2	1.66	97.64	0.17	83.33
0.3	2.50	147.06	0.25	125.00
0.4	3.33	195.88	0.33	166.67
0.5	4.17	245.30	0.42	208.33
1.2	10.00	705.88	1.00	500.00

Images of biofilm development in nutrients flowing channel (Channel 1) and no-flowing channel (Channel

170 Biofilm morphologies

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172 2) at various flow velocities are shown in Fig. 2. It is noticed that biofilm in Channel 1 reveals different 173 morphological characters involving coverage and shape depending on flow velocities. After inoculation, the 174 initial attached biomasses at low velocities (1.66 and 2.50 mm/s) became irreversible and developed 175 towards different structures of biofilm along the growth medium flow. Biofilm at 1.66 mm/s tends to be 176 approximately circular shape and has a larger coverage area, while biofilm at 2.50 mm/s shows an

177 appearance of thin plate structure. There is no clear biofilm formation in Channel 1 at high velocities (3.33

178 and 4.17 mm/s), which indicates that shear forces imposed at high flow velocities were larger than the

179 adhesion forces between biofilms and surfaces.

On the contrary, biofilm formed in Channel 2 at 3.33 mm/s led to the largest cluster compared with low 181 velocities, indicating that hydrodynamic conditions in Channel 1 determined the flux of nutrients transport

182 to Channel 2, and high shear stress in Channel 1 facilities mass transfer in Channel 2 and stimulates

183 bacterial growth. Noteworthy is that in the case of injection velocity of 1.66 mm/s, biofilm continued

184 developing to some extent in Channel 2 when the nozzle was plugged by biofilm accumulation in Channel

185 1, which is likely because the formed biofilm was permeable to nutrients. There was no biofilm growth in

- 186 either channel at the highest flow velocity of 4.17 mm/s, which suggests that the high shear forces may
- 187 prevent biofilm formation. This result is in agreement with industrial applications where the formation of
- 188 biofilm is prevented by high velocity flooding [14].

189 After 6 days of injection at a constant rate, the injection rate was increased stepwise by 0.1 µl/min, until up

190 to 1.2 µl/min (corresponding 500.00 s⁻¹ of shear rate), to test the adhesive strength between biofilm and the

- 191 solid surface. As shown in the right column images of Fig. 2, biofilm in Channel 1 at 1.66 mm/s became
- 192 elongated in the flowing direction to form filamentous "streamers" when increasing the shear rate.
- 193 However there were no clear biofilm shape differences in cases of higher flow velocities (2.50 and 3.33
- 194 mm/s).

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Fig. 2 Optical images of biofilm growth in both microchannels at 10 mM and various velocities. As shown in images, biofilm features, and channel edges are bright and the surrounding voids dark. The first column of images compared biofilm development in microchannels at different flow velocities for 1 h. After continually injecting growth medium at constant flowrates for around 6 days, images of biofilm in microchannels are shown in the middle column. The right column shows the response of biofilm at high flowrate of 1.2 μ l/min, corresponding the shear rate of 500.00 s⁻¹. Nutrients flow from left to right in the upper channel. Scale bars indicate 100 μ m.

203 Biofilm accumulation in the flowing and no-flowing channels

204 Biofilm coverages as a function of time for different flow velocities in two microchannels are listed in Fig. 205 3. In Channel 1 (Fig. 3 (a)), the coverage of biofilm decreased in the first 24 h as the flow shear stress 206 snapped off some of weak initial attachments. When the left biofilm turned into irreversibly attached and 207 new biofilm formed, biofilm coverage increased over time. Fig. 3 (a) shows more biofilm accumulation in 208 Channel 1 at low flow velocities. Fig. 3 (b) plots biofilm coverage in the no-flowing channel (Channel 2) as 209 a function of time in each run. Biofilm coverages at all velocities increased over time, while the optimum 210 velocity is 3.33 mm/s due to its exceptionally high accumulation rate. This might attribute to that biofilm 211 growth in the no-flowing Channel 2 was highly dependent on the nutrient diffusive flux from the nurtrient-212 flowing Channel 1, where the high velocity in Channel 1 facilitated the nutrients transportation from 213 Channel 1 to Channel 2. In the case of the highest flow velocity of 4.17 mm/s, there was few cells attached 214 in areas of interest (AOIs) after nutrient flooding (Fig. 2), which might cause less active cells for further 215 biofilm growth in AOIs. Therefore, the velocity of 3.33 mm/s leaded to the largest biofilm accumulation 216 compared to other flow velocities. 217 Comparing biofilm growth at 2.50 mm/s in the nutrient flowing channel and no-flowing channel in Fig. 3 218 (c), biofilm coverage in two channels increased with time initially. However, after 75 hours biofilm 219 coverage in Channel 2 reached to a plateau value, while biofilm coverage in Channel 1 continued 220 increasing over time. The stable coverage obtained in Channel 2 might be attributed to that cells within the 221 biofilm cannot obtain sufficient essential sources of nutrients for producing new biofilm as bacterial cells 222 dramatically increased in the growing biofilm community. However, the continuous nutrients supply in 223 Channel 1 leads to a delay of this leave-off behavior. Fig. 3 (d) compares the experimental data (dots) with 224 the mathematical model (lines) of biofilm coverages in both microchannels at various velocities. The 225 numerical data is from D. L. Marbán' work [26] and shows that our experiment data is well fit with the 226 numerical simulation. The mathematical model considered the biofilm as a porous medium and formed by 227 water, EPS, active, and dead bacteria. The flow of free water was modelled by the Stokes equation, whereas 228 the flow of water inside the biofilm was modelled by the Brinkman equation. A diffusion-convection

229 equation was involved for the transport of nutrients. The location of the biofilm-water interface changed in







Fig. 3 (a) Biofilm coverage over time in Channel 1 at various velocities; (b) Biofilm coverage over time in
Channel 2 at different velocities; (c) Comparison of biofilm accumulation in both channels at 2.50 mm/s;
(d) Experimental data and numerical simulations of biofilm coverage in both channels at various velocities;
(e) Number of released cells as a function of biofilm culture time at various velocities (Error bars are ±

237 standard deviation); (f) Biofilm coverage in Channel 1 as response to the increasing shear rate after

238 bacterial growing at the velocities of 1.66 and 2.50 mm/s for 6 days.

239 Biofilm adhesive strength test

growth in the bulk fluid.

240 Fig. 3 (e) shows the results of qPCR analysis of cell number in the effluent at various velocities. The cell 241 number in effluent increased in the first 48h after inoculation, which mainly contributes to that the 242 reversible adhered bacteria were driven out the microchannel by the shear stress. After nutrient flooding for 243 48h, cell number in effluent decreased over time, exhibiting that more bacteria involved into the biofilm 244 construction. Since there were no bacterial injection during flooding, the measured cells in the effluent can 245 be interpreted as the detachment of biofilm due to the flow-induced shear stress and/or planktonic cell 246

- 247 For the adhesive strength test, biofilm coverages in Channel 1 as responds to the increasing shear rate from
- 248 83.33 s^{-1} and 125.00 s^{-1} up to 500.00 s^{-1} are shown in Fig. 3 (f). In the case of biofilm formation at 1.66
- 249 mm/s, its coverage area increased slightly when increasing shear rate up to 166.67 s⁻¹, suggesting that the
- 250 increasing shear stress facilitates the diffusion of nutrients inside of biofilm and promotes its growth.
- 251 However, according to the decrease of slope in the biofim coverage curve (Fig. 3 f), biofilm growth slowed
- 252 down after continuely increasing the shear rate. When the shear rate was increased to 500 s^{-1} , biofilm
- 253 coverage started to decrease, which might be explained by the detachment rate exceeding the growth rate.
- 254 Simillar results were obtained for biofilm growth at flow velocity of 2.50 mm/s, where biofilm coverage
- 255 increased at lower flow shear rates and decreased at higher shear rates.

256 Effects of nutrient concentration on biofilm accumulation and adhesive strength

257 Biofilm developments in channels were compared at four different nutrient concentrations to evaluate the

258 effects of nutrient conditions on biofilm accumulation and adhesive strength. The baseline was 10 mM

- 259 pyruvate in the growth medium and variations of two times (20 mM), half (5 mM) and one tenth (1 mM) of
- 260 the baseline concentration were applied. Injections were performed at a constant velocity of 1.66 mm/s
- 261 from Channel 1 for approximately 7 days, and followed by biofilm strength tests via steadily increasing
- 262 shear rate. The images are shown in Fig. 4.



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Fig. 4 Optical images of biofilm growth over time at various nutrient concentrations. The first column
images compared biofilm development in microchannels at various nutrient concentrations for 1 h. The
middle column shows images of biofilm growth after continually injecting nutrient solution for around 7
days. The right column lists the results of biofilm detachment on adhesive strength test by increasing shear
rate up to 500.00 s⁻¹. Nutrient flow from left to right in the upper channel. Scale bars indicate 100 µm.

269 Biofilm morphologies

As shown in Fig. 4, biofilm in Channel 1 with the highest concentration 20 mM has a long, thick but loose

271 structure, which is highly sensitive to the variation of shear stress. After 122 h, the formed biofilm detached

- from the channel surface, leaving behind a few attached biofilm spots to regrow. At nutrients input of 10
- 273 mM and 5 mM, biofilm became denser and compacted, and no detachment occurred with biofilm
- 274 expansion. When reducing the nutrient concentration to 1 mM, there was no clear biofilm growth occurring
- in the nutrient flowing channel.

276 Biofilm in Channel 2 at nutrient inputs of 20 mM has larger coverages than other concentrations, which

277 confirms that high nutrient concentrations supply could lead to a fast biofilm growth. The massive biofilm

accumulation at low nutrient concentration of 5 mM might be related to the large initial attachments

279 containing more biomasses for biofilm development. It is noticed that there is barely new biofilm formation

- at both channels at 1 mM, which shows that the lowest nutrient input significantly limited new biofilm
- 281 formation.

As responding to the increasing shear rate, the biofilm with low density and loose structure at 20 mM, was

highly sensitive to the variation of shear stress, which detached from the substrate at the shear rate of 83.33

284 s⁻¹. Biofilm growth at 5 mM reacted as same as that at 10 mM to the increasing shear rate, which only the

285 biofilm shape became elongated in the flowing direction but without large detachment.

286 Biofilm accumulation in the flowing and no-flowing channels

287 Biofilm coverages as a function of time for different nutrient concentrations in two microchannels are

shown Fig. 5. As shown in Fig. 5 (a), biofilm growth in Channel 1 at a high nutrient concentration of 20

289 mM has a much faster accumulation rate in the first 5 days, but rapidly decreases to near zero when most

290 parts of biofilm detached from the matrix. At the medium nutrient feeding zones (5 mM and 10 mM),

biofilm coverage at 5 mM is higher than that of 10 mM in the first two days, but reached a plateau value

after around 60 h. Thereby biofilm coverage reached a stable plateau when the low nutrient concentration

293 limited further growth, At the lowest nutrient concentration of 1 mM, there was no clear biofilm formation

- in both channels. Therefore, the lowest nutrient concentration (1 mM) could not provide a proper
- 295 environment for biofilm growth. In this study, the limiting nutrient concentration for biofilm growth

appears to be between 1 mM and 5 mM.





Fig. 5 (a) Biofilm coverage over time in Channel 1 at different nutrient concentrations; (b) Biofilm coverage over time in Channel 2 at different nutrient concentrations; (c) Comparison of biofilm coverage in both channels at 5 mM and 1.66 mm/s; (d) Cell number of effluents at various nutrient concentrations at the flow velocity of 1.66 mm/s (Error bars are ± standard deviation).

302 As shown in Fig. 5 (b), biofilm accumulation in Channel 2 is highly influenced by nutrient concentrations.

303 Biofilm formation at 20 mM has a faster accumulation rate than other cases, indicating that the high

304 nutrient concentration in Channel 1 leads to an increase of biofilm growth in Channel 2. However, biofilms

305 at all the nutrient concentrations reach stable plateaus after 5 days when the growing biofilm community

306 could not obtain sufficient essential nutrients for further growth. The time to reach the stable plateau at 20

307 mM is later than 5 mM, suggesting that the high nutrient concentration leads to a decrease in the time taken

308 to reach the stable plateau in a no flow system. Fig. 5 (c) compares biofilm accumulation in both channels

309 at 5 mM. Apparently, the time to reach the plateau in Channel 1 was later than that in Channel 2, indicating

310 that the flow shear rate in Channel can facilitate mass transfer and lead an increase in the time taken to

reach the stable state. These results confirm that nutrient availability has a significate influence on biofilmdevelopment.

313 Biofilm adhesive strength test.

314 Fig. 5 (d) presents the results of the cell number in the effluent at four different nutrient concentrations. 315 Apparently, the released cell number at 20 mM is higher than those at lower nutrient concentrations, which 316 might be contributed to that the high nutrient supply promote a higher planktonic growth. The number of 317 released/detached cells is relatively in the same level at 5 mM and 10 mM in the first 5 days. However, 318 when biofilm stopped growing at 5 mM (the plateau in Fig. 5 (c)), the detached cells increased over time, 319 suggesting that the mature biofilm would disperse more planktonic cells into the bulk liquid [28]. At the 320 limited nutrient supply (1 mM), the released cell number in the effluent was stable during nutrient flooding. 321 In the case of no biofilm formation in channels (Fig. 4), bacteria at limited nutrient supply might prefer to 322 live in the planktonic style instead of biofilm style [1]. 323 It is noticed that biofilm growth at 20 mM has a weak adhesive strength with the substrate, because cells 324 deep in the biofilm were dispersed from the interior of the biofilm matrix causing large degree of 325 detachment. We also observed this dispersion occurring at flow velocity of 2.50 mm/s (Fig. 6). A central 326 region of the biofilm matrix (the red circles in Fig. 6 (a)), became visible and light after a few days of 327 biofilm growth, which has demonstrated as the pre-dispersion behavior [11]. Then microcolonies within the 328 regions migrated into the bulk liquid, leading to huge biofilm detachments. Biofilm were observed to 329 undergo growth and dispersion simultaneously at the highest nutrient concentration (Fig. 6 (b)). As biofilm 330 growth at a fast accumulation rate at 20 mM, cells trapped in the deep of biofilm matrix have difficulties to 331 obtain essential sources of energy or nutrients via diffusion from the bulk solution to the biofilm structure. 332 In addition, waste products and toxins accumulated also in a high speed inside the biofilm community. 333 When they reached toxic levels to threaten cells survival, microorganisms would be released from the deep 334 of the biofilm matrix to resettle at a new location to develop again.





Fig. 6 (a) Images of biofilm growth following dispersion events at high nutrient concentration of 20 mM and flow velocities at 1.66 and 2.50 mm/s. Red circles at two images of 121h and 81h demonstrate the predispersion behavior. Flow direction from left to right. (b) Biofilm accumulation at 1.66 mm/s and nutrient concentration of 20 mM.

340 Discussion

341 **Biofilm morphologies**

342 Observations on biofilm morphologies in both flow and no flow channels of each run demonstrate that flow 343

velocity and nutrients concentration have direct effects on biofilm morphology. Shapes of biofilm in the

nutrient flowing channel (Channel 1) shown the influence of flow drag in the direction of flow velocity,

344

345 where the biofilm clusters became compacted and progressively elongated with the increase of flow

346 velocity (Fig. 2). The biofilm at the high nutrient concentration had a long, thick but loose structure, while

347 it turned to be denser and compacted at low nutrient concentrations (Fig. 4). Similar results have been

348 reported in previous work [41].

349 Biofilm growth in Channel 2 is highly dependent on the diffusion of nutrients in Channel 1. As the former

350 bacteria injection path, most parts of Channel 2 were full of biomasses without fluid shear forces. Only the

351 void in the nozzle connecting with Channel 1 could act as the transport channel supplying nutrients for

352 biofilm growth. Biofilm growth at the high shear rate of 166.67 s⁻¹ and high nutrient concentration of 20

353 mM led to a larger cluster compared with others, indicating that high shear rate and nutrient concentration

354 in Channel 1 facilitated the mass transfer of nutrients into Channel 2, and promoted biofilm growth in

355 Channel 2. It is noticed that there was no biofilm growth in either channel at the highest flow velocity of

356 4.17 mm/s and lowest nutrients concentrations of 1 mM, suggesting that the high shear forces and limited

357 nutrients loading may prohibit biofilm formation.

358 **Biofilm accumulation**

359 In this study, we set the initial biofilm coverage after inoculation to zero, and plotted the biofilm coverage

360 (Ant) by subtracting the initial attachment from all image sequences to analysis biofilm net accumulation

361 rate during nutrient flooding. As shown in Fig. 3 (a) and Fig. 5 (a), the coverages of biofilm in Channel 1

- 362 are under zero in the early stage of injection, which demonstrates that the shear stress caused by nutrient
- 363 flooding leads to the snap-off of weak initial attachments. When the remained biofilm became irreversibly

364 attached, cells within biofilm behaved as nuclei for new bacteria/biofilm growth, resulting in the increase of

365 biofilm coverage. Biofilm accumulation in the flowing microchannel (Channel 1) is highly related with 366 flow velocities through two important factors, mass transfer and shear stress [46,48]. As shown in Table 1, 367 the Reynolds numbers in Channel 1 were very low (from 0.17 to 0.42), while the mass transfer Peclet 368 number were extremely high (from 97.64 to 245.30), which suggests that mass transfer in the microchannel 369 was dominated by convective actions and has negligible diffusion during nutrient flooding [23]. Thereby, 370 the diffusion of nutrients from bulk to biofilm rarely increased with the increase of flow velocity, while the 371 shear stress by water flow increased linearly. The accumulation of biofilm, which is equal to its growth rate 372 minus detachment rate, decreased with the increase of flow velocities when the shear stress induced 373 detachment rate exceeding growth rate. Thereby, the optimum flow velocity for biofilm growth in the flow 374 microchannel is the lowest velocity of 1.66 mm/s in this work. Considering effects of nutrient 375 concentration, the biofilm accumulation in Channel 1 was linearly increased with nutrient concentrations. 376 Apparently, the highest nutrient concentration (20 mM) led to a much faster biofilm accumulation rate. The 377 similar biofilm growth rate at 5 mM and 10 mM implies that in a range of nutrient concentrations, the 378 biofilm growth rate is independent of nutrient status in the initial state of biofilm growth [36]. As biofilm 379 grows in size, the number of cells within the biofilm increases dramatically, resulting in their demands for 380 nutrients growing. Thereby the low nutrient concentration would limit growth in the later stage of biofilm 381 development. 382 Biofilm accumulation in Channel 2 increased with shear rate and nutrient concentration in Channel 1 383 monotonically. Due to an absence of shear stress, biofilm growth in Channel 2 depended on the nutrient 384 diffusive flux of Channel 1, where the flow shear rate and nutrient concentration could facilitate mass 385 transfer, leading to an increase in biofilm accumulation. Therefore, for a confined no flowing system, 386 biofilm accumulation rate is highly related to the nutrients availability, which are in correspondence with 387 previous works. 388 The results above indicate that for porous systems, like oil reservoirs, biofilm could develop not only in the 389 main water flow paths, but also in dead ends and less flooded areas. Therefore, optimized nutrient flow 390 velocity and nutrient concentration could ensure sufficient nutrients supplying rate with moderate shear 391 stress in the pore space, resulting in a fast and stable biofilm accumulation in both flowing and non-flow 392 regions.

393 Biofilm adhesive strength with the glass surface

394 The results of qPCR analysis reflect the detachment of biofilm as responding to the stresses from the

395 environment, including shear stress and nutrient starvation [5]. In this study, we observed that the biofilm-

396 dispersal cells increased with flow velocity due to the shear stress induced detachment, and nutrient

397 starvation was also a trigger for biofilm dispersal. In a flowing system, biofilm dispersal is beneficial to

398 spawn novel biofilm development cycles at new locations, which can ensure attachment and bioplug

399 formation developing further into flooded porous media.

400 In contrast to the planktonic mode, biofilm in a self-generated matrix can behave as viscous liquids to resist

401 the flow shear stress and prevent from detachment from the attached solid surface. The results from biofilm

402 adhesive strength test have demonstrated that biofilm growing at the optimum shear stress could resist the

403 flow-induced shear stress, which is in agree with the results of Park et al.[33] that under the optimum shear

404 stress, EPS structure could provide a mechanical shield to protect biofilm. Compared to the snap-off of

405 initial attachment in the beginning of nutrient injection, the adhesive strength between biofilm and adhesive

406 surface seemed to become stronger under shear [2,31]. However, biofilm growth at high nutrient

407 concentration (20 mM) formed a loose structure with a high accumulation rate but a weak adhesive strength

408 with substrates, which was easily detached by fluid shear.

409 Conclusion

410 In summary, this work demonstrates that flow velocity and nutrient concentrations could control biofilm

411 development in porous media in a bioplugging trial. Negligible biofilm formation at the relatively high flow

412 velocity of 4.17 mm/s and low nutrient concentration of 1 mM suggests that there is a 'no/low growth

413 region', where the high shear force leads to biofilm detachment and nutrient concentration is below the

414 minimum required for biofilm formation. This is supported by the earlier work [40,41]. At the conditions

- 415 investigated in this work, a strong plugging effect in the flowing microchannel was obtained at the
- 416 relatively low flow velocity of 1.66 mm/s and the medium nutrient concentration of 10 mM substrate,
- 417 which has a fast biofilm accumulation rate and a strong adhesion force to resist increase in the flow-
- 418 induced shear. This research gives new insights to influences of flow velocity and nutrient concentration on

- 419 biofilm development in porous media at porescale, which may aid evaluations of bioplugging in porous
- 420 systems such as for oil and ground water reservoirs. As potential permeability reducers in oil reservoirs,
- 421 biofilm accumulation in porous media needs to be controlled by flow velocity and nutrient availability.
- 422 Optimized nutrient flow velocity and concentration ensures sufficient nutrients supplying rate with
- 423 moderate shear stress in the pore, resulting in biofilm accumulation in both flowing and non-flow regions.
- 424 However, too high stress may prevent biofilm formation and removal of adhered biofilm in the porous
- 425 media. High nutrient concentration is beneficial for biofilm growth, but leads to a weak biofilm adhesive
- 426 strength, which is easily detached by flow shear from the pores.

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430 **Compliance with ethical standards**

431 **Conflict of interest** The authors declare no competing financial interests.

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